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PTO/55/21 (2-2-03)  
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**TRANSMITTAL FORM**  
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Total Number of Pages in This Submission: 01

Application Number: 09/092,556  
Filing Date: November 14, 2003  
First Named Inventor: Krishna, K.  
Art Unit: 2180  
Examiner Name:  
Attorney/Agent Number: 40,0042

**ENCLOSURES (Check all that apply)**

☐ Fee Transmittal Form  
☐ Fee Attached  
☐ Amendment/Reply  
☐ After Final  
☐ Affidavits/Declaration(s)  
☐ Extension of Time Request  
☐ Express Abandonment Request  
☒ Information Disclosure Statement  
☐ Certified Copy of Priority Document(s)  
☐ Response to Missing Parts/Incomplete Application  
☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Drawing(s)  
☐ Licensing-related Papers  
☐ Petition  
☐ Petition to Convert to a Provisional Application  
☐ Power of Attorney, Revocation, Change of Correspondence Address  
☐ Terminal Disclaimer  
☐ Request for Refund  
☐ CO, Number of CO(s)  
Remarks:

☐ After Allowance communication to Technology Center (TC)  
☐ Appeal Communication to Board of Appeals and Interferences  
☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  
☐ Proprietary Information  
☐ Status Letter  
☒ Other Enclosure(s) (please identify below)  
☐ Statement under 37 CFR 1.07(a)(1), References

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**  
Firm or Individual Name: Patir Jensen, Reg. No. 35,759  
Signature: *Patir Jensen*  
Date: March 11, 2004

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Signature: *Patir Jensen*  
Date: March 11, 2004

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Appl. No. 09/992,558  
Statement Under 37.CFR 1.97(e)(1)

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**MAR 11 2004**

Appl. No. : 09/992,558  
Applicant : Krishna, K. et al  
Filed : November 14, 2001  
TC/A.U. : 2185  
Examiner :  
Docket No. : 40.0042  
Customer No. : 41754

Confirmation No. 5240

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Signature Pehr Jansson

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Statement Under 37.CFR 1.97(e)(1)

Dear Sir:

Each item of information contained in the accompanying Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Pehr Jansson

Pehr B. Jansson  
Registration No. 35,759

Date: March 11, 2004

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PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

(Use as many sheets as necessary)

Sheet	1	of	2
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**Complete if Known**

Application Number	09/992,558
Filing Date	November 14, 2001
First Named Inventor	Krishna, K.
Art Unit	2185
Examiner Name	
Attorney Docket Number	40,0042

[illegible]

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear.
		Country Code <sup>1</sup> Number <sup>2</sup> Kind Code <sup>3</sup> (if known)			
		WO 99/49392	10-20-1999	IBM Corporation	Entire document
		PCT/US 02/36394: ISR	11-14-2001	Schlumberger	Entire document

Examiner Signature	Date Considered:
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds/Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

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Substitute for form 1449/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		Application Number	09/992,558
		Filing Date	November 14, 2001
		First Named Inventor	Krishna, K.
		Art Unit	2185
		Examiner Name	
Sheet 2	of 2	Attorney Docket Number	40.0042

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.*	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Tim Lindholm, Frank Yellin: "The Java Virtual Machine Specification Second Edition", April 1999 (1999-04), SUN MICROSYSTEMS INC., PALO ALTO, CA, USA, pp 120-123 XP-002269823	
		"Java Card 2.1.1 Virtual Machine Specification" C.S. Dept. Univ. of Augsburg - 18 May 2000. Chapter 6. ...	
		... (item 2 cont.) <a href="http://www.informatik.uni-augsburg.de/lehrestuehle/info1/lehre/ss03/javacard/javacard-2.1.1/JCVMSpec.pdf">www.informatik.uni-augsburg.de/lehrestuehle/info1/lehre/ss03/javacard/javacard-2.1.1/JCVMSpec.pdf</a>	

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